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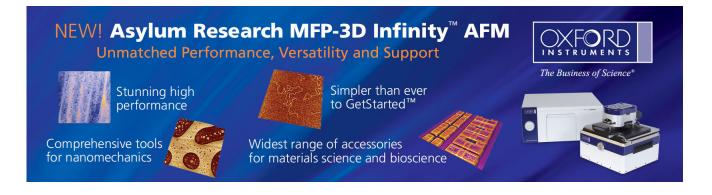
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Strong green luminescence in guaternary InAIGaN thin films

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We observed strong green luminescence (around 2.37 eV) in two InAlGaN thin film samples, which were originally prepared for applications in the UV range. Based on the observation of the InN peaks and the extended distributions, corresponding to InGaN compounds, in the x-ray diffraction patterns, such green luminescence was attributed to the formation of indium-rich clusters, which formed localized states, in the quaternary films of low indium content (2%). Carrier trapping of such localized states, particularly when carriers received thermal energy such that they could overcome certain potential barriers around the clusters, resulted in strong green luminescence. The observed optical behaviors, including the blueshift phenomenon of photoluminescence spectral peak position in varying temperature, showed that the green luminescence was quite different from the previously reported yellow luminescence, which resulted from defects. © 2003 American Institute of Physics. [DOI: 10.1063/1.1556965]

Recently, quaternary InAlGaN-based UV photodetectors showing high photoresponse have been demonstrated.^{1,2} Besides the application to photodetectors, quaternary InAlGaN compounds were used in InGaN/InAlGaN quantum well (QW) light emitters³ and GaN/InAlGaN heterojunction fieldeffect transistors.⁴ With all these successful implementations of InAlGaN-based devices, the material properties as well as optical characteristics of such a compound have not been well studied yet. In particular, the visible luminescence behaviors in an InAlGaN compound with the band gap in the UV range have never been well discussed although photon emission of deep levels was briefly mentioned.⁵ These deep levels may originate from indium aggregation and phase separation, which were widely reported in InGaN/GaN QW structures.6,7

In this letter, we report the strong green luminescence in two quaternary InAlGaN samples, which were originally prepared for applications in the UV range, with the results of material and optical analyses. X-ray diffraction (XRD), temperature-dependent photoluminescence (PL), photoluminescence excitation (PLE), and high-excitation PL measurements were performed. Based on the measurement results, we propose the existence of InGaN clusters in InAlGaN quaternary alloys. Such clusters are responsible for the strong green luminescence.

The two InAlGaN samples used in this study were grown in a low-pressure metalorganic chemical vapor deposition reactor. In sample KSU-667, a 25 nm low-temperature grown GaN buffer layer was first deposited on the sapphire substrate, followed by the deposition of $\sim 1 \ \mu m$ GaN layer. A quaternary InAlGaN film with a thickness of about 100 nm was then deposited on the top of the GaN layer. In sample KSU-669, an about 100 nm InAlGaN film was directly deposited on a sapphire substrate with a 25 nm GaN buffer layer. The compositions of In and Al were estimated to be 2% and 15%, respectively, in both samples. The details of growth procedures were reported previously.¹ The standard PL and PLE measurements were carried out. For high excitation PL measurements, the fourth harmonic (266 nm) of a Q-switch Nd:yttritium-aluminum-garnet laser was used as the excitation source.

Figures 1(a) and 1(b) show the XRD patterns of samples KSU-667 and KSU-669, respectively. The diffraction peaks could be well identified.⁸⁻¹¹ In sample KSU-667, the clear GaN peaks of different orders originate mainly from the 1 μm GaN layer. Such peaks are not clearly seen in sample KSU-669. The InN peaks (see the insets), corresponding to the indium-rich phase, provide a direct evidence of indium aggregation or phase separation. The broad distributions (indicated with arrows in the insets) between the InN (0002) peak and the GaN (0002) peak represent strong indium compositional fluctuations of broad variations in size, shape, and indium content in the two samples, particularly in KSU-667.

Figures 2(a) and 2(b) show the PL data with high-power excitation and PLE spectra of KSU-667 and KSU-669, respectively. The average excitation power increases from 50 to 150 mW for the five PL curves. Besides peaks A and B in the PL spectra, corresponding to InAlGaN and GaN, respectively, there is strong green luminescence (peak C) in both

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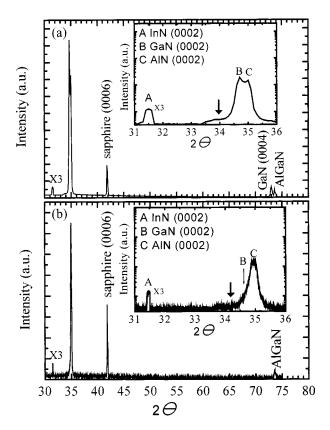


FIG. 1. XRD patterns of samples KSU-667 (a) and KSU-669 (b). Insets of (a) and (b) show fine-scale patterns.

samples. The broad spectral widths in the green range imply that the formed InGaN clusters may have large variations in their geometries and compositions. Comparing the relative intensity and spectral width of peak C with peak A, one can see that the green emission of KSU-667 is relatively stronger and spectrally broader than that of KSU-669. This trend is consistent with the higher degree of indium aggregation in KSU-667, as shown in Fig. 1. The existence of the thick GaN layer in sample KSU-667 is responsible for the strong emission of the B band. To observe the Stokes shift (SS) of green luminescence, the PLE detection photon energy was set at 10 meV below the individual PL peak in each sample. The PLE spectrum of KSU-667 shows the absorption peaks of quaternary InAlGaN, GaN, and InGaN around 3.8, 3.5, and 2.6 eV, respectively. The SS of green luminescence in each sample can be observed. It stands for another evidence of geometry and composition variations in indium aggregation.

To further understand the behaviors of green luminescence, we used a He–Cd laser as the excitation source for temperature-dependent PL measurements. Figures 3(a) and 3(b) show the temperature-dependent PL spectra of KSU-667 and KSU-669, respectively. One can see that the PL intensity increases with temperature up to 225 K in KSU-667. Meanwhile, that of KSU-669 is basically maintained constant. The temperature-dependent PL results are summarized in Figs. 4(a) and 4(b), in which the normalized integrated PL intensities and PL spectral peak positions of the two samples are shown. In KSU-667, the integrated PL intensity increases up to 225 K first and then decreases with increasing temperature. On the other hand, it is almost temperature independent in KSU-669. Such behaviors are quite different from prevition results, which were usually observed in typical III–V

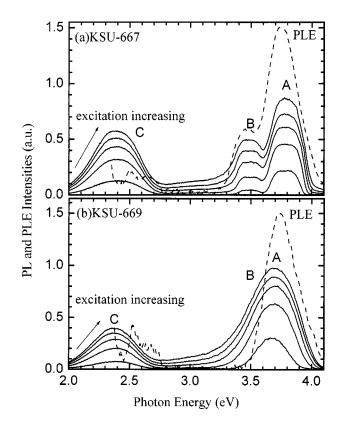


FIG. 2. High excitation PL (solid lines) and PLE (dashed lines) spectra of samples KSU-667 (a) and KSU-669 (b). The average excitation powers for the five solid curves are set at 50, 75, 100, 125, and 150 mW.

compounds. In such a compound, integrated PL intensity always decays with temperature due to the increasing nonradiative recombination. Such an anomalous phenomenon can be attributed to carrier supply with thermal energy. With thermal energy, carriers can overcome certain potential barriers and relax into localized states formed by the InGaN clusters.¹² In other words, when carriers are generated in the states of GaN and InAlGaN, most of them would not relax to the potential minima of the localized states unless thermal energy is supplied. In KSU-667, because the prominent indium aggregations provide more localized states, the carrier capture process is stronger, leading to the increasing trend up to 225 K. Beyond this temperature, nonradiative process dominates. The almost temperature-independent integrated PL intensity of KSU-669 suggests the equilibrium between carrier supply and loss. Carrier loss can be due to nonradiative recombination and carrier escape with thermal energy.

The temperature-dependent PL spectral peak positions in Fig. 4(b) show a double *S*-shape variation (redshift—blueshift—redshift—blueshift) in KSU-667 as temperature increases. Single *S*-shape behaviors were widely observed in InGaN/GaN QW structures. They were attributed to the temperature-dependent carrier dynamics associated with carrier localization in potential minima.¹³ The double *S*-shape variation may imply that there exist two groups of indiumrich clusters of different potential barriers for carrier trapping. The first blueshift (40 K<T<120 K) can be attributed to the same effect of the second group of higher potential barriers. When the band filling is saturated, the phonon effect (redshift)

This

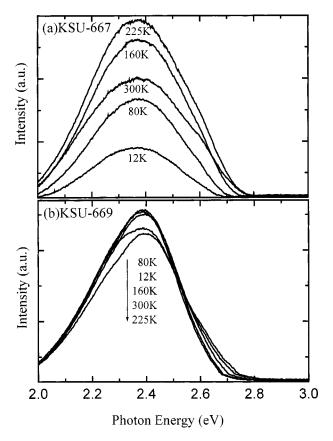


FIG. 3. PL spectra at several temperatures of samples KSU-667 (a) and KSU-669 (b).

dominates. As for sample KSU-669, the PL spectral peak positions exhibit blueshift first and then redshift as temperature increases. This one-step blueshift may imply that only one type of indium-rich clusters exists in this sample.

The properties of the observed strong green luminescence are quite different from those of the yellow luminescence previously reported. The following differences are worth noting: First, the emission peak of the green luminescence (at 12 K) is around 2.37 eV (523 nm), while that of vellow luminescence is around 2.21 eV (560 nm).^{14,15} Second, the PL spectral peak positions of yellow luminescence did not exhibit the S-shape variation with temperature, particularly the blueshift behaviors. Based on the measured optical characteristics and XRD results, it is believed that the observed strong green luminescence originates from the formation of indium-rich clusters, instead of defects, in the In-AlGaN thin films.^{15,16} The XRD patterns shown in Fig. 1 did not show the lattice structures corresponding to the band gaps of the observed green luminescence. It is believed that certain microstructures, consisting of local InN and InGaN lattices, in the samples (such as quantum dot-like clusters) are formed such that energy states, corresponding to green luminescence, exist.

In summary, we have observed strong green luminescence (around 2.37 eV) in two InAlGaN thin film samples, which were prepared for applications in the UV range. Such green luminescence was attributed to the formation of indium-rich clusters, which formed localized states, in the quaternary films.

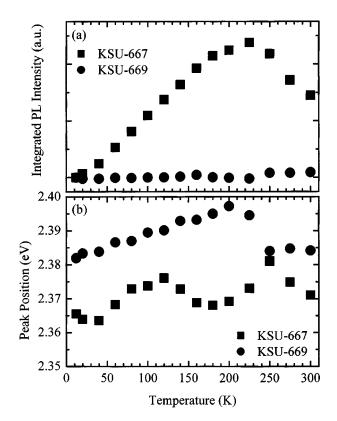


FIG. 4. (a) Integrated PL intensity and (b) PL spectral peak position as functions of temperature of samples KSU-667 and KSU-669.

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